

09/13/99  
1c639 U.S. PTO

1c518 U.S. PTO  
09/394712  
09/13/99

312 Blair Court  
Vienna, VA 22180

September 13, 1999

**WRITER'S DIRECT NUMBER:**  
202-371-2560  
**INTERNET ADDRESS:**  
resmond@skgf.com

Assistant Commissioner for Patents  
Washington, D.C. 20231

***Box Patent Application***

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. (to be assigned); Filed: Herewith  
For: A Method for Treating or Preventing Alzheimer's Disease  
Inventor(s): Robert W. Esmond, Jack R. Wands and Suzanne de la Monte  
Our Ref: 0609.4440002

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. U.S. Utility Patent Application entitled:

**A Method for Treating or Preventing Alzheimer's Disease**

and naming as inventor(s):

Robert W. Esmond  
Jack R. Wands  
Suzanne de la Monte

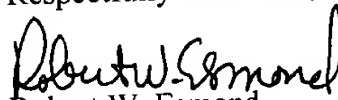
the application consisting of:

Assistant Commissioner for Patents  
September 13, 1999  
Page 2

- a. A specification containing:
    - (i) 11 pages of description prior to the claims;
    - (ii) 3 pages of claims (20 claims);
    - (iii) a one (1) page abstract;
  - b. An original executed combined Declaration and Power of Attorney;
2. PTO Fee Transmittal Form PTO/SB/17;
  3. An original executed Statement Claiming Small Entity Status--Independent Inventor;
  4. A facsimile copy of an executed Statement Claiming Small Entity Status--Non-Profit Organization;
  5. Two (2) return postcards; and
  6. Check No. 1223 for \$419 to cover:
    - \$380 Filing fee for patent application;
    - \$39 Fee for independent claims in excess of three.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Respectfully submitted,

  
Robert W. Esmond  
Registration No. 32,893  
Attorney for Applicants

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**

for FY 1999

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

**Complete if Known**

Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Esmond
Examiner Name	
Group / Art Unit	
Attorney Docket Number	0609.4440002

TOTAL AMOUNT OF PAYMENT

(\$ 419.00)

**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account  
NumberDeposit Account  
Name

- ☐
- Charge Any Additional Fee Required Under 37 CFR
- 
- §§ 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:
- ☒
- Check No. 1223
- 
- ☐
- Money Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	380
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 380)

**2. EXTRA CLAIM FEES**

	Extra	Fee from below	Fee Paid
Total Claims <u>20</u> - 20** = <u>0</u> X <u>0</u> = <u>0</u>			
Indep. Claims <u>4</u> - 3** = <u>1</u> X <u>39</u> = <u>39</u>			
Multiple Dependent			

\*\* or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependant claim
108	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 419)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

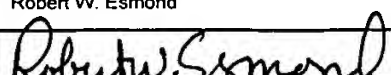
Other fee (specify) :

Other fee (specify) :

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

**SUBMITTED BY****Complete (if applicable)**

Typed or Printed Name	Robert W. Esmond	Reg. Number	32,893
Signature		Date	September 13, 1999
		Deposit Acct. User ID	